

ESMP Annex to the SAPZ Financing Agreement (FA)

<i>Material Actions¹ to Manage the Project's E&S Risks and Impacts</i>		<i>Basis for Requirement</i>	<i>Key Performance Indicator</i>	<i>Indicative Timing/Deadline</i>
Periodic E&S implementation report to the Bank		Bank's ESP and OS1	Reports submitted in time, in good standard	Two weeks after the due period
1	Recruitment of E and S specialists as part of the Project implementation unit	Disclosed ESIA, OS1	E&S specialists (suitably qualified) recruited as part of the PIU	By Project effectiveness date
2	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public	OS1, OS10, Environmental Protection Agency (EPA) Act 2022, Customary Lands Right Act 2022, the Employment Act 2023, National Development Induced Resettlement Act 2023	Functional GRM established and publicly disclosed Grievance logs: number of grievances received vs resolved	By Project effectiveness date
3	Payment of compensation and reinstallation of affected people	OS1, OS5, Customary Lands Right Act 2022, National Development Induced Resettlement Act 2023, Environmental Protection Agency (EPA) Act 2022	100% signed RAP consent forms Compensation payment records	RAP implementation completed prior to disbursement for works involving resettlement
4	Incorporation of site-specific E&S measures in the request for proposals	OS1, Environmental Protection Agency Act 2022	Number of requests for proposals (RFPs) that include site-specific E&S measures.	Before publication of the call for tenders
5	Submission of high-risk activity's Contractor ESMP (C-ESMP) to Bank clearance	Bank's ESP, OS1, OS2, OS10, Environmental Protection Agency (EPA) Act 2022, the Employment Act 2023	Number of C-ESMPs prepared and cleared by the Bank before high-risk activities	C-ESMP submitted and cleared by Bank prior to issuance of Commencement Order and start of construction activities
6	Establishment of the Contractor's Grievance Mechanism (GM) and information of workers	OS1, OS2, OS10, Bank's Disclosure and Access to Information Policy, Environmental Protection Agency (EPA) Act 2022	Worker GRM set up and disclosed to workers during hiring process.	Prior to commencement of works
7	Obtaining nationally required licenses prior commencement of subjected activities	OS1, OS2, the Employment Act 2023, Environmental Protection Agency	Number of activities with required licenses obtained prior to commencement (100% compliance)	Prior to commencement of works

¹ Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

	(excavations, tree-cutting, working at height, working in confined spaces, etc.)	(EPA) Act 2022, Water Resources Management Act 2017, Forestry Act 1989/2022		
8	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1, Environmental Protection Agency (EPA) Act 2022	Specific E&S documents prepared, approved, and disclosed at national level & on bank website	Before commencement of approved sub-project
9	Engagement with concerned stakeholders of each relevant specific E&S activity	OS1, OS10, Bank's Disclosure and Access to Information Policy, Environmental Protection Agency (EPA) Act 2022	Number of relevant E&S activities that include documented stakeholder engagement Stakeholder consultation reported in accordance with SEP (minutes and attendance lists of stakeholders for relevant activities)	From the entry into force of the project (and throughout the project cycle)
10	Establishment of Emergency Preparedness and Response mechanism	OS1, OS4, Environmental Protection Agency (EPA) Act 2022	Emergency Preparedness and Response mechanism in place	Before commencement of project activities
11	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	Number of Complaints /grievances resolved within a stipulated timeframe	No later than 2 months after the date of registration of the complaint
12	Notification to riparian or alert to downstream exposed peoples	Not applicable	Not applicable	Not applicable
13	Capacity building of key project implementers	OS1	Number of training sessions conducted with at least 80% attendance by key project implementers	Monthly reporting to the Bank
14	Implementation of ESMS/ESAP ²	Not applicable	NA	NA
14.1	<i>Approval of any required E&S management procedure</i>	Ditto		
14.2	<i>Establishment of the E&S unit</i>	Ditto		
14.3	<i>Capacity Building of the E&S Unit</i>	Ditto		
14.4	<i>Processing the Value Chain E&S due diligence</i>	Ditto		

² Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.

15	Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank.	Bank's ESP	Number of EOHS (Environmental, Occupational Health and Safety) incidents reported and corrective actions implemented. 100% of EOHS incidents must be reported to the Bank within 72 hours, and work can only resume upon receiving the Bank's no-objection.	Immediately and no later than 72 hours after the occurrence
16	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	Number of fatal EOHS incidents with completed root-cause analyses (RCA) and Corrective Action Plans (CAP) implemented. 100% of fatal EOHS incidents must have an RCA completed and the CAP implemented within the required timeline.	30 days after the Incident.
17	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	Evidence of E&S reports disclosed in a timely and accessible manner.	14 days after project approval.